The Corporation of the Town of South Bruce Peninsula

By-Law Number 6-2014

Being a By-Law to Amend By-Law Number 44-2009
Being a By-Law to Adopt the Manual Governing the
Policies and Procedures for the Corporation of the
Town of South Bruce Peninsula (Purchasing Policy)

Whereas Section 8 of the Municipal Act, 2001, c.25, as amended, provides that the powers of a municipality shall be interpreted broadly so as to confer broad authority on the municipality to enable the municipality to govern its affairs as it considers appropriate and to enhance the municipality’s ability to respond to municipal issues;

And whereas Section 11 of the Municipal Act, 2001, c.25, as amended authorizes municipalities to pass by-laws regarding the accountability and transparency of the municipality and its operations;

And whereas Section 270 (1) 3 of the Municipal Act, 2001, c.25 as amended, states that a municipality shall adopt and maintain policies with respect to its procurement of goods and services;

And whereas Section 270 (1) 5 of the Municipal Act, 2001, c.25, as amended authorizes the municipality to adopt and maintain policies with respect to the manner in which the municipality will try to ensure that it is accountable to the public for its actions, and the manner in which the municipality will try to ensure that its actions are transparent to the public;

And whereas the Council of the Corporation of the Town of South Bruce Peninsula adopted a Municipal Policy Manual and desires to amend said by-law by amending the policy regarding purchasing.

Now therefore the Council of the Corporation of the Town of South Bruce Peninsula enact as follows:

1. That policy TR.17.1 Purchasing Policy be amended as attached hereto and shall form part of the Municipal Policy Manual

2. That all by-laws and policies inconsistent with this by-law are hereby repealed and replaced with this by-law.

3. That this by-law shall come into full force and effect upon the final passing thereof.
Read a first and second time this 21st day of January, 2014.

Mayor

Clerk

Read a third time and finally passed this 21st day of January, 2014.

Mayor

Clerk
Purpose:
The Ontario Municipal Act requires that every municipality have a policy with respect to its procurement of goods and services. This policy is intended to fulfill that requirement.

Policy:
See attached "Town of South Bruce Peninsula Purchasing Policy" (pages 1 to 40)
Town of South Bruce Peninsula

Purchasing Policy
# Table of Contents

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>1  Statement of Principle</td>
<td>4</td>
</tr>
<tr>
<td>2  Application of Policy</td>
<td>4</td>
</tr>
<tr>
<td>3  Delegation</td>
<td>4</td>
</tr>
<tr>
<td>4  Definitions</td>
<td>4</td>
</tr>
<tr>
<td>5  Purchasing Processes and Limits</td>
<td>7</td>
</tr>
<tr>
<td>6  Specifications</td>
<td>7</td>
</tr>
<tr>
<td>7  Awarding of Contracts</td>
<td>8</td>
</tr>
<tr>
<td>8  Administration</td>
<td>9</td>
</tr>
<tr>
<td>9  Processes for Special Situations</td>
<td>9</td>
</tr>
<tr>
<td>10 Disposal of Surplus Goods and Equipment</td>
<td>11</td>
</tr>
<tr>
<td>11 Co-operative Purchasing</td>
<td>11</td>
</tr>
<tr>
<td>12 Legal Claims</td>
<td>11</td>
</tr>
<tr>
<td>13 Division of Contracts</td>
<td>11</td>
</tr>
<tr>
<td>14 Authority to Execute Contracts</td>
<td>11</td>
</tr>
<tr>
<td>15 Local Preference</td>
<td>12</td>
</tr>
<tr>
<td>16 Persons with Disabilities</td>
<td>12</td>
</tr>
<tr>
<td>17 In-House Bids</td>
<td>12</td>
</tr>
<tr>
<td>18 Conflict of Interest</td>
<td>12</td>
</tr>
<tr>
<td>19 Performance Evaluation</td>
<td>12</td>
</tr>
<tr>
<td>20 Integrity of the Purchasing Process</td>
<td>12</td>
</tr>
<tr>
<td>21 Authorities</td>
<td>13</td>
</tr>
<tr>
<td>Schedule A: Exemptions to Requirements of Purchasing Process</td>
<td>15</td>
</tr>
<tr>
<td>Schedule B: Informal Quotations Procedures</td>
<td>17</td>
</tr>
<tr>
<td>Schedule C: Request for Quotations Procedures</td>
<td>18</td>
</tr>
<tr>
<td>Schedule D: Bidding Procedures</td>
<td>19</td>
</tr>
<tr>
<td>Schedule E: Errors and Irregularities</td>
<td>26</td>
</tr>
</tbody>
</table>
List of Appendices

1. Resolution of Council-Conflict of Interest ........................................28
2. Purchasing Limit Designation ............................................................29
3. Department Listing ...........................................................................30
4. Quotation Checklist ...........................................................................32
5. Tender / Proposal Checklist .................................................................35
6. Statement by Bidder ..........................................................................39
1. **Statement of Principle**

The *Ontario Municipal Act* requires that every municipality have a policy with respect to its procurement of Goods and Services. This policy is intended to fulfill that requirement.

In acquiring Goods and Services, the Town of South Bruce Peninsula (Municipality) requires processes to ensure that operating departments will receive the Goods and Services they require in sufficient quality and quantity for their needs, which have been acquired with integrity, fairness, equality and transparency through efficient means that produce the lowest overall cost for the taxpayer.

2. **Application of Policy**

   a) All Municipal departments, elected Municipal officials, committees and boards of the Municipality shall acquire Goods and Services in accordance with this Policy and not otherwise.
   
   b) No person shall have the right to acquire Goods and Services or to otherwise bind the Municipality in respect of the acquisition of Goods and Services, except in accordance with this Policy.
   
   c) This Policy shall apply to the acquisition of all Goods and Services by the Municipality, except for the exemptions set forth in Schedule A.
   
   d) The rules in (c) above shall not apply where the supply of funds, approval of borrowing, or the provision of other financial assistance is mandated by statute.

3. **Delegation**

   a) Where authority is given to the Treasurer and Department Head pursuant to this policy, such authority may be delegated to subordinate staff on such terms as the Treasurer and Department Head shall consider reasonable in the circumstances. *(Appendix 2 – Purchasing Limit Designation)*

4. **Definitions**

   In this Policy,

   “Administrator” means the Administrator of the Town of South Bruce Peninsula or Designate.

   “Business Premise” means the business premise from which the Goods and Services shall be supplied.

   “Clerk” means the Municipal Clerk of the Town of South Bruce Peninsula or Designate.

   “Contract” includes the purchase of goods or services by, or on behalf of, the Municipality and the execution of written agreements and formal contracts as required.

   “Council” means the municipal Council of the Town of South Bruce Peninsula.
“Department” - A Department shall be as listed in Appendix 3 - Department Listing.

“Department Head” means the organizationally appointed head of a specific department and shall include the Administrator.

“Designate” means the person or persons assigned the duties and responsibilities on behalf of, or in the absence, or incapacity of the person charged with the principal authority to take the relevant action or decision.

“Goods” includes but is not limited to goods, supplies, wares, merchandise, materials and equipment used or required by the Municipality.

“In-House Bid” means a process that allows for internal municipal departments to compete with external entities for the provision of Goods and Services.

“Mayor” means the Mayor of the Municipality or Designate.

“Municipality” means the Corporation of the Town of South Bruce Peninsula.

“Prequalification Process” means a solicitation process in which detailed written submissions describing attributes such as experience, financial strength, education or background, or other pertinent considerations are solicited in a Request for Prequalification.

“Proposal” means an offer to supply Goods and Services, acceptance of which may be subject to further negotiation.

“Responsible” means in respect of a bidder who is deemed to be fully technically and financially capable of supplying the Goods and Services requested in the solicitation.

“Responsive” means in respect of a bidder who correctly and completely responds to all of the significant requirements outlined in the solicitation.

“Request for Expressions of Interest” means a general market research tool to determine vendor interest in a proposed procurement and is not intended to result in the award of a contract.

“Request for Proposals” means a competitive procurement process for obtaining unique proposals designed to meet terms of reference.

“Request for Quotations” means a competitive procurement process for obtaining bids based on defined requirements for which a fixed or calculated price will be paid.

“Request for Tenders” means a competitive procurement process for obtaining defined requirements for which a clear or single solution exists.

“Services” may include, but are not limited to telephone, gas, water, hydro, janitorial and cleaning service, consultant services, legal surveys, medical services, insurance,
training, and the rental, installation, inspection, repair or maintenance of equipment, machinery or other personal property.

"Single Sourcing" means the purchasing of Goods and Services from a particular vendor without solicitation of bids from other suppliers who can supply the same item.

"Sole Sourcing" means the purchasing of Goods and Services that are unique to a particular vendor and cannot be obtained from another source.

"Solicitation" means any and all forms of solicitation for Goods and Services by the Municipality, including but not limited to requests for tenders, quotations, proposals, prequalification, information and expressions of interest.

"Supplier" means any individual or organization providing goods or services to the Municipality including but not limited to contractors, consultants, vendors, service organizations etc.

"Treasurer" means the Treasurer of the Town of South Bruce Peninsula or Designate.

"Two-Envelope Method" means a procurement process in which a submission is submitted in two separate envelopes. The technical and qualitative information is submitted in the first envelope and the pricing information is provided in the second envelope which is only opened if the bidder is qualified by the first envelope.

"Variance" means the difference between the actual revenues and expenditures of a department and their levy allocation as approved in the annual budget.

"Vendor" means a seller or supplier of goods or services.
5. Purchasing Processes and Limits

- The Goods and Services listed in Schedule "A" are exempt from the purchasing process requirements of this Policy.

- The process applying to "Informal Quotations" is set out in Schedule "B".

- The process applying to "Requests for Quotations" is set out in Schedule "C".

- The process applying to "Tender" and "Requests for Proposals" is set out in Schedule "D".

The following processes shall be applied for purchasing Goods and Services within the following monetary limits, except as otherwise provided for in this Policy:

<table>
<thead>
<tr>
<th>Monetary Limits</th>
<th>Purchasing Processes</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Up to $200</td>
<td>Direct Purchase, OR Credit Card OR Petty Cash</td>
<td>At the Department Head's Discretion</td>
</tr>
<tr>
<td>Up to $5,000</td>
<td>Direct Purchase OR Informal Quotations</td>
<td>Used primarily for the purchase of low value goods. Department Head will attempt to obtain competitive prices.</td>
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<tr>
<td>$5,001 and not more than $15,000</td>
<td>Informal Quotations OR Request for Quotations</td>
<td>No public opening is required. Department Head and Treasurer to determine the best process for receiving competitive bids.</td>
</tr>
<tr>
<td>$15,001 and not more than $50,000</td>
<td>Request for Quotations OR Tender OR Requests for Proposals</td>
<td>No public opening is required. Department Head and Treasurer to determine the best process for receiving competitive bids. Advertising of bid call optional.</td>
</tr>
<tr>
<td>More than $50,000</td>
<td>Tender OR Requests for Proposals</td>
<td>Public opening required. Department Head and Treasurer to determine the best process for receiving competitive bids. Advertising of bid call required.</td>
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</tbody>
</table>

6. Specifications

a) Each user Department shall be responsible for the preparation of plans and specifications with the support of outside professional assistance as deemed necessary.

b) In order to contribute to waste reduction and increase the development and awareness of environmentally sound purchasing, the acquisition of Goods and Services will ensure that, wherever possible, specifications are amended to provide for expanded use of durable products, reusable products, and products
that contain the maximum level of post-consumer waste and/or recyclable content, without significantly affecting the intended use of the product or service. It is recognized that cost analysis is required in order to ensure that the products are made available at competitive prices.

c) Suppliers or potential suppliers shall not be requested to expend time, money or effort on design or in developing specifications or otherwise to help define a requirement beyond the normal level of service expected from Suppliers. Where such services are required,
i) the Treasurer shall be advised;
i) the contracted supplier will be considered a consultant and will not be allowed to make an offer for the supply of the Goods and Services;
iii) a fee shall be paid, the amount of which shall be determined and agreed upon by the supplier before the service commences; and
iv) the detailed specifications shall become the property of the Municipality and can be used in obtaining competitive bids.

7. Awarding of Contracts

a) All Contracts in excess of $5,000 may be awarded by the Department Head in the form of a contract executed by the Mayor and Clerk provided the purchasing processes set out in this Policy have been followed, and if ALL of the following conditions are satisfied:

Condition 1:
i) Funding for these Goods and Services is included in an approved capital budget; or
ii) Funding for these Goods and Services is included in the approved annual operating budget.
iii) The Goods and Services represent the work in respect of a development project and an irrevocable letter of credit or other surety in favour of the Municipality has been received by the Treasurer to secure the total estimated cost of the Goods and Services;

and

Condition 2:
There are no Provincial Government and Federal Government requirements for the express authorization of Council to Award the Contract.

b) Prior to Contract award, the checklist will be presented to the Treasurer for financial approval and thereafter forwarded to the Clerk for assurance that the Purchasing Policy has been followed, prior to Contract execution by the Mayor and Clerk.

8. Administration
a) All invoices and accounts from vendors shall be authorized prior to payment. Authorization in the form of signatures of the Department Head and staff denoting clerical accuracy, budgetary or specific Resolution approval, tender/quote number and indication that goods and services were received in good order must be in place. These required signatures will be deemed to authorize payment.
b) Prior to Council adopting the operating and capital budget, no employee, Committee member or Member of Council is authorized to purchase any goods or services other than those goods or services required on a recurring basis for the day to day operations of the Municipality, without the prior approval of Council.
c) The Treasurer is authorized to pay for Goods and Services purchased in accordance with this Policy and shall pay for any such Goods and Services unless otherwise provided in this Policy or within the time commitments specified in the purchasing agreement or contract.
d) No prepayment for all or any part of Goods and Services shall be made unless the contract specifically provides for such prepayment.
e) Every effort shall be made wherever and whenever possible, to purchase centrally those goods and services that are of a common nature to Departments concerned, so as to promote lower ultimate costs of goods and services.

9. Processes for Special Situations

Emergency

a) When a situation, or the threat of an impending situation, occurs, that is determined by the Treasurer to be a threat to public health, the maintenance of essential Municipal services, the welfare of persons, or of public property, the protection of the Municipality’s physical assets, or the security of the Municipality’s interest or financial liabilities arising from unexpected conditions, and the occurrence requires the immediate delivery of Goods and Services and time does not permit following the process set out in this Policy, the Treasurer is authorized to do so in the most expedient and economical means possible. The Department Head shall present a report to Council at its next meeting.

b) In the event of a declared emergency, and in consultation with the Treasurer, the CEMC has full purchasing authority during the state of declared emergency.

Negotiation

The Department Head may under any one of the following conditions negotiate with one or more suppliers:

a) When there is a Sole Sourcing situation;
b) When there is a Single Sourcing situation such as when, due to market conditions, required Goods and Services are in short supply, or when urgent acquisition of required Goods and Services is necessary due to unexpected circumstances;
c) When required Goods and Services must be compatible with equipment presently being used;
d) During negotiations of annual renewals within a contract period;
e) When all bids received are not Responsive and Responsible bids, or are otherwise unacceptable;
f) When the nature of the assignment is confidential and disclosure to several bidders is inappropriate provided that the Municipality is in compliance with the Municipal Freedom of Information and Protection of Privacy Act, in respect of any such negotiations;
g) When two or more identical low tender bids have been received or when only one bid has been received;
h) When all tenders or bids received fail to meet the specifications or terms and conditions, and it is impractical to recall Tenders or Requests for Quotations or Requests for Proposals;
i) When the lowest Responsive and Responsible bid received substantially exceeds the budgeted amount for Goods and Services, or is excessive in total cost as compared to the Goods and Services to be delivered;
j) When negotiating rebates based upon annual purchase value with suppliers;
k) When negotiating improved discounts for quick payment of invoices;
l) When suggesting alternative products, offering equal or higher performance at lower costs;

Negotiated contracts shall be awarded in accordance with this Policy, and the results of negotiation must be reported to Council.

Relocation of Utilities

Despite any other provision of this Policy, a work order for relocating utilities as part of a construction project where a local utility company will perform the work for such relocation of utilities shall be prepared and signed by the Department Head and by the Treasurer.

Exceptions

A Department Head may request an exemption from any or all the purchasing methods outlined in this policy by submission of a report requesting the same to Council. Such exemption shall be granted by Resolution.

Purchase of Used Fleet, Demo or Other Equipment

The purchase of used or demo equipment is encouraged if, in the opinion of the Department Head, it is a cost-effective and fiscally responsible alternative to purchasing new.

It is recognized that used equipment can be sold quickly by dealers and such a situation does not lend itself to a process that requires formal quotations and sealed bids.

All purchasing of used or demo equipment should

a) Meet or exceed the department equipment specifications
b) Be supported by a minimum of three informal quotations as evidence of value acceptable to the Treasurer
c) Be supported by a quotation for the purchase of an identically-equipped new piece of equipment as evidence of value acceptable to the Treasurer
Legislated Authority

The Clerk has the authority to purchase goods, services and equipment considered necessary or advisable to carry out the requirements of the Municipal Elections Act, R.S.O. 1996. The Clerk shall whenever possible be guided by the provisions of this Purchasing Policy.

10. Disposal of Surplus Goods and Equipment

Except where surplus Goods are traded in as part of a replacement purchase, or are given to another operating Department within the Municipality which has a use for the surplus Goods or equipment, the user Department, in consultation with the Treasurer, shall sell or dispose of the Goods by public auction, Tender, or Request for Quotation, or other method, whichever method is in the best interest of the Municipality.

11. Co-operative Purchasing

The Treasurer is authorized to participate in and/or join in co-operative purchasing arrangements with other municipalities, local boards and other public commissions and agencies where the best interests of the Municipality will be served. Where such participation is at variance with the Municipality’s Purchasing Policy, Council shall first authorize any participation.

12. Legal Claims

No bid or offer will be accepted from any bidder, inclusive of the bidder’s subcontractors, who has a claim or has instituted a legal proceeding against the Municipality, or against whom the Municipality has a claim or instituted a legal proceeding, without prior approval of Council. For purposes of this provision, where such bidder is a corporation, bidder shall include any non-arms length corporation of the bidder.

13. Division of Contracts

No officer or employee of the Municipality shall divide the purchasing of Goods and Services in order to avoid the requirements of this Policy.

14. Authority to Execute Contracts

Subject to statutory requirements and where all the requirements of this Policy have been met, the Mayor and Clerk are authorized to execute contracts and any related documents.
15. Local Preference

   a) Except as set forth in (b) and (c), no local preference shall be shown or taken into account in acquiring Goods and Services.

   b) Where there are two responses to a solicitation for Goods and Services which after evaluation appear equal in all respects, a local preference may be shown for the sole purpose of breaking the tie. In such circumstances, the “local” Vendor shall be deemed to be the Vendor whose Business Premise shall have the nearest geographical proximity to the point of delivery of the Goods and Services.

   c) A local preference may be shown when the intrinsic nature of the acquisition necessitates a local preference, such as the solicitation by the Municipality for municipal office space.

16. Persons with Disabilities

   In accordance with the Accessibility for Ontarians with Disabilities Act, 2005, municipal staff shall consider and have regard to disability accessibility issues as they may reasonably pertain to such acquisition of Goods and Services.

17. In-House Bids

   Unless specifically approved by Council, the Municipality does not allow in-house bids for the acquisition of Goods and Services.

18. Conflict of Interest

   No Goods or Services shall be purchased by the Municipality from any officer or employee of the Municipality or any member of Council or from any business in which any officer or employee of the Municipality or any member of Council is an officer of such business without express approval by Council. (Appendix 1 – Resolution of Council)

19. Performance Evaluation

   The Treasurer, in conjunction with the Department Head, may initiate a performance review at the substantial completion or conclusion of a contract or more frequently if deemed appropriate.

20. Integrity of the Purchasing Process

   In order to maintain the integrity of the bid solicitation processes and to protect the interests of the Municipality, the public and persons participating in a procurement process, the following shall be adhered to for any purchasing which is subject to the requirements of this Policy:
a) Open Process: Departmental needs are clearly communicated to bidders. The method of evaluating the bid and the evaluation criteria are provided to bidders at the outset of the bid solicitation process. The terminology used in the competitive bidding documents are defined and interpreted within the document when issued. The method and format of submitting Bids is addressed in the bid solicitation document.

b) Fairness: Utilize standard documents: Instructions to bidders, terms and conditions and bidding forms to ensure consistency of content and format within documents issued by the Municipality. Specify clearly all details regarding the closing date, time and location of bid Solicitation processes and apply the rules of bid acceptance consistently. Treat all Bidders and all submissions equally and without bias or favouritism at all times.

c) Open Competition: Develop specifications and terms of reference that whenever possible, are not restrictive and allow for open competition from the marketplace. Advertise all formal public Tenders and Requests for Proposals on the internet in order that the competitive bidding opportunity be advertised and accessible to all interested firms. Advertise the competitive bidding opportunity in a specific trade newspaper or local newspaper where practical to further promote competition.

d) Accountability: Ensure that all Bids are kept secure prior to the closing date, during the evaluation period and following contract award. Handle all proprietary information and Bids submitted in confidence in accordance with the Municipal Freedom of Information and Protection of Privacy Act. Ensure that Requests for Proposals are evaluated by a representative selection committee to allow for various perception and opinions when reviewing and evaluating proposals prior to contract award. Ensure that all bids, final rating results and related supporting documentation are kept on file in accordance with the Municipality’s Retention By-law for future reference, audit or examinations. Ensure that procurement procedures are reviewed on a regular basis to ensure the procedures are clear, logical, and current and in accordance with accepted industry standards. Include conflict of interest guidelines in all competitive bidding documents to minimize the risk of potential suppliers trying to influence Municipal staff and elected officials during the bid evaluation process.

21. Authorities

a) Council - Council has ultimate authority for all expenditures. Council delegates this authority by the approval of budgets or by specific Resolution. Treasury cannot pay for any item that has not been authorized by Council through budget appropriation or specific Resolution.

b) Department Head - The Department Head shall be responsible for approval of accounts within the approved budget for such department or any amendment to same as approved by Council. A Department Head may appoint a Purchasing Designate to exercise any or all responsibilities assigned to that Department Head by this policy. (Appendix 2 – Purchasing Limit Designation)
c) **Departmental Variances** - Where it appears additional funds will be required to complete a project approved in the budget or support revenue shortfalls, and where funds are available within the levy allocation of the department, a variance of funds may be made if the variance does not conflict with their approved work-plan.

- **Department Head** - The Department Head may authorize the variance to a limit of $5,000 and report same to the Treasurer.
- **Treasurer** - To the extent that a Department Head has been able to secure project-based funding commitments subsequent to budget approval, the Treasurer may authorize a budget variance provided that no additional Municipal funding is required.
- **Council** - Council may authorize any variances in excess of $5,000 by Resolution.
  - The Department Head shall present a written report with the notation "Variance to Budget."
  - The report shall contain the nature and purpose of the excess expenditure, cost estimates, and the Department Head and Treasurer's endorsement.

d) **Variances Between Departments** - Where it appears additional funds will be required to complete a project approved in the budget or support revenue shortfalls, and where funds are available between the levy allocations of two departments, a variance of funds may be made if the variance does not conflict with the approved work-plan of either department.

- **Department Head** - The Department Head may authorize the variance between his responsible departments to a limit of $5,000 and report same to the Treasurer.
- **Council** - Council may authorize any variances between departments in excess of $5,000 by Resolution.
  - The Department Head shall present a written report with the notation "Variance to Budget."
  - The report shall contain the nature and purpose of the expenditure, cost estimates, the department from which an appropriation can be provided and the Department Head and Treasurer's endorsement.

e) **Variance Reporting** –

- Variances to estimated revenues and estimated expenditures contained in the approved budget shall not be deemed to be "amendments to the budget".
- Anticipated variances are noted by the Department Head for comment in the monthly financial reports prepared by the Treasurer for consideration of Council.
- If the variances are expected to be significant the Department Head and Treasurer will recommend timely corrective and remedial action to mitigate the impact.

The Schedules attached to this Policy form part of this Policy.
Schedule “A”

Exemptions to Requirements of Purchasing Process

The purchasing processes described in this Policy do not apply to the following items.

1. Training and Education
   a) Conferences, conventions, workshops, courses and seminars
   b) Magazines, subscriptions, books and periodical
   c) Memberships
   d) Staff training and development

2. Refundable Employee / Council Expenses
   a) Advances
   b) Meal allowances
   c) Travel and entertainment
   d) Miscellaneous – non-travel

3. Employer’s General Expenses
   a) Reimbursed employee expenses
   b) Payroll and honoraria remittances
   c) Employee benefit remittances and statutory payroll deductions
   d) Workplace Safety and Insurance Board (WSIB) remittances
   e) Licenses
   f) Grants to agencies
   g) Damage claims
   h) Debenture payments
   i) Insurance premiums
   j) Petty cash replenishments
   k) Tax remittances
   l) Refunds/overpayment of taxes, utility charges and fees
   m) Financial agreements (e.g. cost sharing with other municipalities)
   n) Charges to or from other government agencies
   o) Real estate fees and commissions
   p) Bank charges
   q) Progress payments for construction contracts
   r) Purchase of investments

4. Professional and Special Services
   a) Committee fees
   b) Counseling services
   c) Banking and investment services
   d) Appraisal charges
   e) Legal fees
   f) Arbitration fees
   g) Medical, laboratory and pharmacy fees
h) Instructor fees
i) Witness fees
j) Permit or application fees
k) Newspaper advertising

5. Utilities

a) Water
b) Sewer
c) Natural gas
d) Electricity
e) Postage
f) Telecommunication services
g) Cable television charges

6. Real Property Interests

a) All real estate transactions
Informal Quotations Procedures

1. Goods and Services may be obtained by the Department Heads on values between $0 and $200 by Direct Purchase or Credit Card or Petty Cash at the Department Head's discretion.

2. Goods and Services may be obtained by the Department Heads on values between $0 and $5,000 by Direct Purchase using an open market process not requiring any formalized bidding. This process allows the searching of price comparisons through the use of vendor catalogues or known price advantages.

3. Good and Services may be obtained by the Department Heads on values between $5,001 and $15,000 using an Informal Quotation process. Where possible, three (3) written quotations are to be obtained by the Department Head.

4. Specifications (as applicable) can be issued and received by hand delivery, regular mail, courier, facsimile or email.

5. The closing date and time is at the discretion of the Department Head issuing the bid requirement.

6. Informal bid submissions received by fax or e-mail later than the time specified shall not be considered in the bid award. All bid submissions shall have the date and time of receipt noted on the document.

7. In all cases, the Department Head is required to acquire Good and Services using fair and ethical purchasing practices as established by the Municipality.

8. In soliciting prices, either for the open market process or informal quotation process the Department Head shall indicate the specifications and terms equally to suppliers contacted.

9. Purchases in the case of multiple bid responses will be awarded to the lowest "Responsive and Responsible" bidder.

10. Complete Appendix 4 – Quotation Checklist
Schedule “C”

Request for Formal Quotations Procedures

1. The call for formal quotations, is to be obtained by the Department Head for Goods and Services between $15,001 and $50,000. Quotations shall be solicited by written invitation.

2. Quotations shall be submitted by hand delivery, regular mail, courier, facsimile or email.

3. The Department Head is required to obtain quotes using fair and ethical purchasing practices as established by the Municipality. Staff are to utilize best efforts in acquiring three (3) quotation responses. It should be noted that in some cases, it may not be possible to achieve these bid responses for some Goods and Services.

4. In soliciting prices, the Department Head shall indicate the specifications, terms and conditions equally to all bidders, all of which are to form the basis of the awarded contract.

5. Quotation submissions are to be opened by the Department Head with two other Municipal staff to witness opening.

6. The Department Head shall review all Quotations received and verify that all requirements of the quotation are met.

7. Where a contract is awarded, it shall be the lowest “Responsive and Responsible” bidder, who meets all requirements of the bid documents.

8. Complete Appendix 4 – Quotation Checklist
Schedule “D”

Bidding Procedures

1. Tenders may be called when the requirement of the Goods and Services can be defined or when a clear or single solution exists.

2. Request for Proposals (RFP) may be called when the requirements of Goods and Services cannot be definitely specified, or the requirements of the Goods and Services are non-standard in nature and where such proposals would result in specific offers by the bidders to fulfill the requirements at a particular price.

3. A Request for Information or Request for Expression of Interest may be issued in advance of an RFP call to assist in the development of a more definitive set of terms and conditions, scope of work/service and the selection of qualified suppliers.

4. The Department Head shall prepare or cause to be prepared tender documents. These documents shall provide clear instructions, specifications, terms and conditions of the Contract.

5. Requests for Proposals shall follow the two-envelope method. The technical and qualitative information shall be submitted in the first envelope and the pricing information is provided in the second envelope which is only opened if the bidder is qualified by the first envelope.

6. The Municipality may charge an administrative fee to bidders for issuance of tender documents.

7. Where public advertising in respect of the tender call is required by this Policy, the call for tender shall be advertised to the public on an acceptable Internet web page, trade publication, local newspaper or all three and such advertising shall be the responsibility of the Department Head. The advertisement shall contain all the following:

   a) The full name “The Corporation of the Town of South Bruce Peninsula”;
   b) The Tender or Request for Proposal number;
   c) A general description of the Tender or Request for Proposal;
   d) The person designated to receive responses;
   e) The time and date of closing, and any mandatory bidder’s meetings or site visits;
   f) The location of the performance of the contract;
   g) The location where plans and specifications may be obtained;
   h) The administrative fee, if any, for tender documents;
   i) A statement that the lowest or any Tender or Request for Proposal will not necessarily be accepted;
   j) Fax number for vendor inquiries; and
   k) The Municipality’s website address.
8. Every bid document shall include all of the following:

a) The form of tender;
b) Terms and conditions of the specific tender.
c) An area to provide for the bidder's signature, company name, company address, telephone number, fax number and e-mail address.
d) The bid form shall also provide a "Submission Label" or the following information will be included with all bid documents and shall include the bid document number, opening date, company name and address.
e) Closing times for sealed bids will be included in the document.
f) The time limit for signing the contract shall be clearly stated.
g) Bid documents shall clearly indicate the insurance requirements that are to be provided by the successful bidder. (See Paragraph 19 Insurance)
h) Special provisions where applicable; and
i) Specifications.

9. Tender documents for construction contracts, in addition to the above, may require:

a) Drawings and plans.
b) Agreement documents.
c) Contract securities.
d) Evidence of good standing with the Workplace Safety and Insurance Board.
e) Liability insurance and certificates.
g) Vendor safety policies.

10. All formal bid documents must require that **Appendix 6 - Statement by Bidder**, is completed in full and signed by an authorized representative of the company submitting the bid.

11. The following term shall be included on every tender form:

a) "The Town of South Bruce Peninsula reserves the right, in its sole discretion, to reject any or all bids, and the lowest or highest bid, as the case may be, will not necessarily be accepted."

b) Notwithstanding and without restricting the generality of the statement immediately above, the Town of South Bruce Peninsula shall not be required to award or accept a bid, or recall the bids at a later date:

   i) When only one (1) bid has been received as the result of the tender call.
   ii) Where the lowest Responsive and Responsible bidder substantially exceeds the estimated cost of Goods and Services.
   iii) When all bids received fail to comply with the specifications of tender terms and conditions.
   iv) Where a change in the scope of work or specifications is required.
   v) Where Council has changed or retracted by resolution departmental work plans / priorities.
12. Conditions applicable to all bid submissions:
   - Bid documents must be submitted and received in the manner as specified in the bid
document. Exemptions will be noted and considered if deemed appropriate by the
Department Head or Council.
   - All departments must document the receipt of all submissions including the bidder’s
name, date/time of receipt of bid and initials of the employee accepting the bid.
   - A bidder who has already submitted a bid may submit a further bid at any time up to
the official closing time. The last bid received will supersede and invalidate all bids
previously received by that bidder.
   - A bidder may withdraw a submitted bid at any time up to the official closing time by
letter bearing their signature.
   - Bids and adjustment to bids submitted by telephone, facsimile or email, shall not be
considered. A bidder wishing to make adjustments to a submitted bid must
supersede it with a subsequent bid or letter enclosed in the bid envelope received on
or before the closing date and time.
   - Any revised bids must be sealed in a new envelope and date/time stamped.
   - Sealed bid submissions received later than the time specified will not be opened but
will be kept with the tender file in accordance with the retention bylaw.
   - The Municipality reserves the right to verify all bid submissions for requirements and
extended prices after the public opening and may reject any non-compliant bid after
the bid opening. No announcement concerning the successful bidder will be made at
the bid submission opening.
   - All bids must be legible and written in ink or typewritten.
   - All bid forms must be duly executed as required.
   - All required documents, such as agreements to bond, bid bonds, security letters, or
bid deposits, shall be enclosed in the same bid envelope.
   - Bid envelopes shall be sealed or secured.
   - The bid deposit shall be in the form specified in the bid documents. Where a deposit
cheque is required, it must be in the form of a certified cheque, or bank or trust
company draft, or bid bond.
   - All mandatory requirements stipulated in the Municipality’s Request for Tender
document must be met.
   - The Department Head of the department issuing the bid document is responsible for
notifying the Treasurer of the bid call.

13. Where it is deemed necessary by the Municipality to revise the bid documents, the
following process shall apply:
   a) An addendum shall be forwarded to every person who obtained bid documents
for the contract. The addendum shall be attached to all bid forms not yet
distributed. Where an addendum is prepared within four (4) days of the closing
date, every person who obtained bid documents shall be notified of the
addendum by telephone or email and receive the addendum by facsimile.
   b) Where a closing date has been extended, all bidders shall be advised that any
bids submitted prior to the giving of the extension will be returned upon request.
c) Where it is deemed necessary by the Municipality to cancel a tender call, an advertisement will be placed in the electronic medium or publication in which the original advertisement appeared stating that the tender call has been cancelled and every person who obtained bid documents shall be notified by telephone, facsimile or electronic mail of the cancellation. The administrative fee charged for the bid documents shall be returned to the Bidders who paid to receive the documents.

d) Where a tender call is cancelled prior to closing, no bids shall be accepted. All bids received shall be returned unopened by registered mail or courier with a covering letter.

14. Bid Closing and Opening

a) All bids for projects budgeted to exceed $50,000 shall be opened at a public meeting at a time and location specified in the tender documents.

b) The Department Head and a minimum of two additional Municipal employees shall be present for all bid openings.

c) The list of bidders prepared by the Department Head shall be available to all attending the opening.

d) The Department Head shall ensure that all tenders have been accounted for prior to reading out the tenders. Tender envelopes which do not indicate the contract or the bidder's name shall be opened and placed with other tenders for that contract.

e) The Manager shall announce for each contract, the contract number, the contract description, the name of the Bidder, and shall prepare a list thereof.

f) Where two (2) or more tenders are submitted by the same bidder, and no withdrawal request has been received, and where both tenders are identical, only the tender received at the latest time shall be considered.

g) The Manager shall review and analyze the bid submissions and recommend the award of the contract to the lowest bid of a Responsive and Responsible bidder.

h) Council will be made aware of the opening results.

15. Bids shall be rejected for any of the following reasons or for any other reason deemed appropriate by the Department Head or Council:

a) Bid received after the specified closing date and time as specified in the bid document.

b) Bid does not comply with the requirements at time of closing as specified in the bid document.

c) Bids which are incomplete, conditional or obscure, or which contain additions not called for, erasures, alterations or irregularities of any kind.

d) Does not meet specification requirements.

e) It is not in the best interest of the Municipality to accept the bid (Example, but not limited to: over budget, does not meet the objective of the bid document, etc.)

f) Insufficient bid/contract security has been submitted.

16. Bid deposits shall be required to accompany bid submissions in the following circumstances:
• All bids for projects in excess of $100,000 of a minimum of 5% of the contract value and in the form acceptable to the Municipality (see Paragraph 18)

• For estimated expenditures less than $100,000, the Department Head shall determine the amount of the bid deposit required.

• Bid deposits shall be no less than 5% of the estimated value of the work prior to bidding or an amount equal to a minimum of 5% of the bid submitted.

The Department Head shall retain, in safe-keeping, the deposits of the two (2) lowest bids until an award has been determined, accepted, and a contract executed. All other bid deposits will be returned forthwith. Should a tender not be awarded, the Department Head shall forthwith arrange return of bid deposits to the bidders. The bid deposit may be used to mitigate costs or damages to the Municipality where a successful bidder fails to execute the contract, or furnish any required documents within 10 calendar days or as specified within the tender documents after notice to them to do so, or where a bidder withdraws their tender after tenders have been opened.

17. Performance Security

For all construction projects exceeding $100,000 a performance and maintenance bond for a minimum of 50% of the tendered amount is required in the form acceptable to the Municipality (see Paragraph 18). The performance bond shall be retained until satisfactory completion of the project. Satisfactory completion will be determined by the Department Head. The maintenance portion of the bonds shall be retained for a minimum of two (2) years. A performance bond is required for, but not limited to, construction, renovation, demolition, service contracts (when working on Municipal property), supply and installation of equipment.

For all construction projects over $50,000, a 10% holdback shall be applied until the full and satisfactory completion of the project. Satisfactory completion of the project will be determined by the Department Head.

The Engineering Services component of all construction projects with a total cost over $50,000 shall be subject to a 10% holdback until the full and satisfactory completion of the project.

The Ontario Provincial Standards Specifications, General Conditions of Contracts are adopted as the Municipality’s General Terms and Conditions for Civil Works Construction Contracts.

For consulting services and professional fee contracts over $50,000, the Department Head shall determine the amount of the performance security required, but shall not be less than a holdback of 10% until satisfactory completion

18. Form of All Deposits: All performance security must be in ORIGINAL FORM, signed, and sealed as applicable. No faxed or photocopies will be accepted.
• A bond or an agreement to bond issued by a bonding agency currently licensed to operate in the Province of Ontario in favour of the The Corporation of The Town of South Bruce Peninsula.
• A certified cheque made payable to The Corporation of The Town of South Bruce Peninsula.
• An irrevocable letter of credit naming The Corporation of The Town of South Bruce Peninsula as the beneficiary.
• Money orders made payable to The Corporation of The Town of South Bruce Peninsula.
• Canadian currency.

No interest will be paid on Bid, Performance, Labour and Material or Maintenance Bonds.

19. Insurance: Any time that a successful bidder is required to complete any type of work on or at a Town of South Bruce Peninsula location, a certificate of insurance is required. The Municipality’s minimum requirement for all insurance types is $2 million.

a) Insurance Policy Requirements for successful bidder - the policy must contain:
   • a “Cross Liability” clause or endorsement;
   • an endorsement certifying that The Corporation of The Town of South Bruce Peninsula and the successful bidder are included as an additional named insured;
   • an endorsement to the effect that the policy or policies will not be altered, cancelled or allowed to lapse without thirty days prior written notice to The Town of South Bruce Peninsula.
   • Contractor's Liability Insurance Policy

The standard insurance minimums are as follows:

• $2 million - general liability policy. The Department Head of Public Works may request additional coverage up to $5 million for larger projects.
• $2 million - automobile liability policy. Whenever a successful bidder’s vehicle(s) is being used to perform work for the Municipality or on Municipal property.
• $2 million - homeowners (e.g. for rental of facilities)
• $2 million - professional errors and omissions
• Builder’s Risk - the amount of the project cost

20. In considering a contract award, the Department Head shall consider the following, assuming the bid contains no major irregularities:

a) The Bidder's ability, capacity and skill to perform the contract.
b) Whether the bidder can perform the contract promptly or within the time specified without delay or interference.
c) The quality of performance of previous contracts or services.
d) The sufficiency of the financial resources and ability of the bidder to perform the contract.
e) The quality, availability and adaptability of the supplies or services to the particular use required.
f) The number and scope of conditions attached to the tender.
g) Any other evaluation criteria as indicated in the Tender or Request for Proposal document.

21. The Department Head, following the approval of the contract, shall notify the successful Bidder in writing.

22. Where a contract has been awarded and the successful bidder fails to execute the contract or any other required documents within the specified time, the Department Head may:

a) Grant the successful bidder additional time to fulfill the requirements; or,
b) Award the contract to the second lowest Bidder.

23. As soon as the executed contract and any other required documents are returned to and found acceptable by the Department Head, the tender deposits of the successful Bidder and the second low bidder shall be returned to them. Performance bonds from the successful bidder shall be retained.

24. Complete **Appendix 5 – Tender / Proposal Checklist**
Recognition of Issue

The Municipality recognizes that submissions presented in response to solicitations of the Municipality may from time to time contain errors, not all of which shall be fatal to the consideration of the submission. The purpose of this section is to define the actions to be taken in dealing with certain common errors in submissions which may be received by the Municipality.

### SUMMARY OF IRREGULARITIES IN SUBMISSIONS

<table>
<thead>
<tr>
<th>Error or Irregularity</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>Late submission</td>
<td>Automatic Rejection</td>
</tr>
<tr>
<td>Submission not written in ink or other non-erasable medium</td>
<td>Automatic Rejection</td>
</tr>
<tr>
<td>Bid surety not submitted with the bid when the bid request (or any addendum) indicated that such surety is required</td>
<td>Automatic Rejection</td>
</tr>
<tr>
<td>Deposit or other required performance security not in required amount or form</td>
<td>Automatic Rejection</td>
</tr>
<tr>
<td>Deposit or other required performance security is either unenforceable, or partially unenforceable on its face. Includes situations where the bid bond is issued by a surety company not licensed in Ontario</td>
<td>Automatic Rejection</td>
</tr>
<tr>
<td>Impossible to identify either the person making the submission or the solicitation to which the submission relates from the outside of the envelope</td>
<td>Automatic Rejection</td>
</tr>
<tr>
<td>Unsealed Tender envelope</td>
<td>Automatic Rejection</td>
</tr>
<tr>
<td>Submission is Qualified: i.e.: Contains a restriction or qualification where such restrictions or qualifications are not permitted by the solicitation</td>
<td>Automatic Rejection</td>
</tr>
<tr>
<td>All required items not included in submission. Including but not limited to: missing signature, missing schedules, missing forms or other documents required by solicitation.</td>
<td>Automatic Rejection</td>
</tr>
<tr>
<td>Submission not received in required form</td>
<td>Automatic Rejection</td>
</tr>
<tr>
<td>Failure to attend mandatory site meeting at the time specified in the solicitation</td>
<td>Automatic Rejection</td>
</tr>
<tr>
<td>Any addenda to solicitation not acknowledged</td>
<td>May provide acknowledgement within five (5) working days of notification of the error was given by the Municipality, but no change in submission permitted</td>
</tr>
<tr>
<td>Submission contains obvious clerical or mathematical errors</td>
<td>May correct error within five working days from the time that notification of the error was given by the Municipality</td>
</tr>
<tr>
<td>Alterations have been made to the submission but have not been initialed to verify authenticity</td>
<td>May correct error within five (5) working days from the time that</td>
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<tr>
<td>Description</td>
<td>Information</td>
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<td>----------------------------------------------------------------------------</td>
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<td>notification of the error was given by the Municipality</td>
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<tr>
<td>Part bid</td>
<td>Acceptable unless complete bid has been specified in the request</td>
</tr>
<tr>
<td>Alternate bid</td>
<td>Available for further consideration unless specified otherwise in the request</td>
</tr>
<tr>
<td>Unit prices in the schedule of prices have been changed but not initialed</td>
<td>May correct error within five (5) working days from the time that notification of the error was given by the Municipality. The Municipality reserves the right to waive initialing and accept bid</td>
</tr>
</tbody>
</table>
Purchasing Policy

Appendix - One

Resolution of Council

Subject:

Appointed Officer or Employee with any interest directly or indirectly, as a contracting party, partner, shareholder, surety or otherwise in any contract for goods or services or in any portion of the profits thereof or on any supplies to be used herein, or in any of the monies derived there from. Family Acting as Suppliers or Benefiting from Town Business.

Recommendation:

Whereas the Council of the Town of South Bruce Peninsula have passed By-Law ______ which adopted the Manual Governing the Policies and Procedures for the Corporation of the Town of South Bruce Peninsula,

And whereas Policy TR.17.1 Section 19 of the Purchasing Policy prohibits the Town of South Bruce Peninsula from purchasing or accepting a tender on quotes from any individual when an appointed officer or employee of the Town has an interest directly or indirectly with the contracting party,

And whereas the Town’s Manager of Financial Services is desirous of maintaining present relationships with the various contracting parties,

Now therefore the Council of the Town of South Bruce Peninsula recommends that the following parties be added, amended or removed from the listing as indicated.

<table>
<thead>
<tr>
<th>Appointed Officer or Employee</th>
<th>Position</th>
<th>Name of Contracting Party</th>
<th>Nature of Business</th>
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</table>
Purchasing Policy

Appendix - Two

Purchasing Limit Designation

As per Section 3 of the Purchasing Policy a Department Head may appoint a Purchasing Designate to exercise any or all responsibilities assigned to that Manager by this policy.”

Department Head authorization and responsibilities is stated in Section 5 and described in Schedule B “Goods and Services may be obtained by the Department Heads on values between $0 and $5,000 by Direct Purchase using an open market process not requiring any formalized bidding. This process allows the searching of price comparisons through the use of vendor catalogues or known price advantages.”

Pursuant to the Purchasing Policy, the Purchasing Limit Designation is required. A copy of the Purchasing Policy is to accompany this Purchasing Limit Designation and must be reviewed by each Designate.

I, ____________________________, Manager of ____________________________
(Name) (Department)

Do hereby designate ____________________________
(Name of Employee) (Position of Employee)

With the authority to purchase up to $__________________ in Goods and Services in accordance with the Towns of South Bruce Peninsula’s Purchasing Policy.

This designation is valid from ____________________________ to ____________________________.
(Date to Commence) (Date to Expire)

__________________________
Manager

Receipt of Designation and copy of Purchasing Policy.

__________________________
Designate

(Original to be retained by Designate, copy to be retained by Department Head, with additional copy to be provided to the Treasurer).
For the purpose of Section 22.d, a department means the following with the exception of Departments 20 to 29 inclusive and 32, which are considered as one department under Public Works.

<table>
<thead>
<tr>
<th>Department Number</th>
<th>Department</th>
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<tbody>
<tr>
<td>1</td>
<td>Council</td>
</tr>
<tr>
<td>2/4</td>
<td>General Administration</td>
</tr>
<tr>
<td>3</td>
<td>Elections</td>
</tr>
<tr>
<td>11</td>
<td>Fire Protection</td>
</tr>
<tr>
<td>12</td>
<td>Police Protection</td>
</tr>
<tr>
<td>13</td>
<td>Grey Sauble Conservation Authority</td>
</tr>
<tr>
<td>14</td>
<td>Building Inspection Department</td>
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<tr>
<td>15</td>
<td>Animal Control</td>
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<tr>
<td>15</td>
<td>Paid Parking</td>
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<tr>
<td>16</td>
<td>By-Law Enforcement</td>
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<tr>
<td>17</td>
<td>Emergency Management Program</td>
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Public Works Includes:

<table>
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<th>Department</th>
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<tbody>
<tr>
<td>20</td>
<td>Bridges and Culverts</td>
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<tr>
<td>21</td>
<td>Shoulder Maintenance</td>
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<tr>
<td>22</td>
<td>Hardtop Maintenance</td>
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<tr>
<td>23</td>
<td>Loosetop Maintenance</td>
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<td>24</td>
<td>Winter Maintenance</td>
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<td>25</td>
<td>Safety Devices</td>
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<td>28</td>
<td>Roads-Equipment and Administration</td>
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<td>Roads-Vehicles</td>
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<td>30</td>
<td>Health and Safety</td>
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<td>32</td>
<td>Town Street Lighting Systems</td>
</tr>
<tr>
<td>35</td>
<td>Wiarton-Keppel District Airport</td>
</tr>
<tr>
<td>40</td>
<td>Wiarton Waterworks System</td>
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<tr>
<td>41</td>
<td>Amabel Waterworks System</td>
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<tr>
<td>42</td>
<td>Garbage Collection</td>
</tr>
<tr>
<td>43</td>
<td>Garbage Disposal (Landfill Site)</td>
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<tr>
<td>44</td>
<td>Recycling</td>
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<tr>
<td>45</td>
<td>Wiarton Sewer System</td>
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<tr>
<td>46</td>
<td>Sauble Sewer System</td>
</tr>
<tr>
<td>50</td>
<td>Cemetery</td>
</tr>
<tr>
<td>51</td>
<td>Parks and Information Centre</td>
</tr>
</tbody>
</table>
Recreations
Grants and Donation Program
Boards and Committees of Council
Sauble Community School
Community Centre Sauble Beach
Sauble and Wiarton Library
Info Centre/Medical Clinic-Sauble Beach
Pool
Arena
Wiarton Information Centre & Campground
Wiarton Willie
Planning
Economic Development
Drainage
Wiarton BIA
Docking Facilities

Note: Departments may be added or deleted by the Manager of Financial Services or at the direction of Council from time to time in order to maintain efficiencies
Quotation Checklist
(Purchases between $5,001 and $50,000)

1. Quotation name and identification: 

2. Budgeted amount: 

3. Please circle one:
   a. Informal Quote ($5000 - $15,000)
   b. Formal Quote ($15,000 to $50,000)

4. Quotation documents/plans prepared by: 

5. Quotation specifications attached (initial): 

6. Quotation advertisement attached (N/A if informal quote) (initial): 

7. List of prospective bidders/plan takers attached (initial): 

8. Quotations close at: 

9. Date and time of quotation opening: 

10. Successful quote: 

<table>
<thead>
<tr>
<th>Company Name</th>
<th>Tender Amount</th>
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<td></td>
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11. Letters mailed to: 

<table>
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<tr>
<th>Company Name</th>
<th>Date</th>
<th>Initial</th>
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<tbody>
<tr>
<td>Highest quote</td>
<td></td>
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</tr>
<tr>
<td>Second quote</td>
<td></td>
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</tr>
</tbody>
</table>
12. Clearance Certificate or Letter of Independent Contract Status from WSIB

Date valid: ____________  ____________  Initial: _____

Beginning  Ending

Date received: __________________________  Initial: _____

Sent to Engineer/Consultant: ________________  Initial: _____

Approved by Engineer/Consultant: ____________  Initial: _____

Approved by Manager: ______________________  Initial: _____

Additional certificates obtained (must be current certificates on file throughout entire period work is being performed)

Date valid: ____________  ____________  Initial: _____

Beginning  Ending

Date valid: ____________  ____________  Initial: _____

Beginning  Ending
13. Certificate of insurance from Broker/Insurer (Liability Coverage)

Date received: ___________________________  Initial: _____

Sent to Engineer/Consultant: ________________  Initial: _____

Approved by Engineer/Consultant: __________  Initial: _____

Sent to Manager of Financial Services: __________  Initial: _____

14. Town of South Bruce Peninsula Occupational Health & Safety Compliance Form

Date received: ___________________________  Initial: _____

Sent to Engineer/Consultant: ________________  Initial: _____

Approved by Engineer/Consultant: __________  Initial: _____

Approved by Manager: ______________________  Initial: _____

15. Contract/Agreement signed by Town

Date: ___________________________  Initial: _____
Purchasing Policy

Appendix - Five

Tender / Proposal Checklist
(Purchases of $50,001 or more)

Tender/Proposal name and identification: ________________________________

Budgeted amount: ________________________________

1. Tender/Proposal documents/plans prepared by: _______________________

2. Tender/Proposal specifications attached (initial): _______________________

3. Tender/Proposal advertisement attached (initial): _______________________

4. List of prospective bidders/plan takers attached (initial): _______________________

5. Tender/Proposal close at: ________________________________

6. Date and time of Tender/Proposal opening: ________________________________

7. Successful Tender/Proposal:

   Company Name

   Tender/Proposal Amount

   Tender/Proposal Results form attached (initial): _______________________

______________________________
8. Letters mailed to:  

<table>
<thead>
<tr>
<th>Company Name</th>
<th>Date</th>
<th>Initial</th>
</tr>
</thead>
<tbody>
<tr>
<td>Highest Tender/Proposal</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Second Tender/Proposal</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Third Tender/Proposal</td>
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<tr>
<td>Others</td>
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</table>

9. Clearance Certificate or Letter of Independent Contract Status from WSIB

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<th>Initial:</th>
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Additional certificates obtained (must be current certificates on file throughout entire period work is being performed)

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</table>
10. Certificate of insurance from Broker/Insurer (Liability Coverage)

Date received: ____________________________  Initial: ______

Sent to Engineer/Consultant: ________________  Initial: ______

Approved by Engineer/Consultant: ____________  Initial: ______

Sent to Manager of Financial Services: ____________  Initial: ______

Additional certificates obtained (must be current certificates on file throughout entire period work is being performed)

Date valid: ____________________________  Initial: ______
Beginning  Ending

Date valid: ____________________________  Initial: ______
Beginning  Ending

11. Town of South Bruce Peninsula Occupational Health & Safety Compliance Form

Date received: ____________________________  Initial: ______

Sent to Engineer/Consultant: ________________  Initial: ______

Approved by Engineer/Consultant: ____________  Initial: ______

Approved by Manager: ____________________________  Initial: ______
12. Letter of Credit or Performance Bond (required for provision of services & material tenders)

Date received: ___________________________ Initial: _____

Sent to Engineer/Consultant: _________________ Initial: _____

Approved by Engineer/Consultant: _______________ Initial: _____

Approved by Manager: ________________________ Initial: _____

13. Labour and Material Bond (required where the tender is for the provision of complex material or where there is a large amount of subcontractor labour)

Date received: ___________________________ Initial: _____

Sent to Engineer/Consultant: _________________ Initial: _____

Approved by Engineer/Consultant: _______________ Initial: _____

Approved by Manager: ________________________ Initial: _____

14. Contract/Agreement signed by Town

Date: ________________________________ Initial: _____

15. Deposit cheques returned to three highest bidders:

<table>
<thead>
<tr>
<th>Company Name</th>
<th>Date</th>
<th>Initial</th>
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<tbody>
<tr>
<td>Highest Tender/Proposal</td>
<td>_____</td>
<td>_____</td>
</tr>
<tr>
<td>Second Tender/Proposal</td>
<td>_____</td>
<td>_____</td>
</tr>
<tr>
<td>Third Tender/Proposal</td>
<td>_____</td>
<td>_____</td>
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</table>
Statement by Bidder

Bid Document Name: 

Bid Document Number (if applicable): 

1. I/We have reviewed all terms and conditions of all forms included as part of this bid package.

2. I/We have read and understand all of the terms and conditions of the forms included as part of this bid package.

3. I/We understand that if our bid is successful, all requirements of the successful bidder as outlined in this bid document will be completed by the time and in the format required.

Dated at this day of .

Witness

Signature of Authorized Person

Name of Authorized Person

Position